

# Impedance Control and Internal Model Formation When Reaching in a Randomly Varying Dynamical Environment

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**Takahashi, C. D., R. A. Scheidt, and D. J. Reinkensmeyer.** Impedance control and internal model formation when reaching in a randomly varying dynamical environment. *J Neurophysiol* 86: 1047–1051, 2001. We investigated the effects of trial-to-trial, random variation in environmental forces on the motor adaptation of human subjects during reaching. Novel sequences of dynamic environments were applied to subjects' hands by a robot. Subjects reached first in a "mean field" having a constant gain relating force and velocity, then in a "noise field," having a gain that varied randomly between reaches according to a normal distribution with a mean identical to that of the mean field. The unpredictable nature of the noise field did not degrade adaptation as quantified by final kinematic error and rate of adaptation. To achieve this performance, the nervous system used a dual strategy. It increased the impedance of the arm as evidenced by a significant reduction in aftereffect size following removal of the noise field. Simultaneously, it formed an internal model of the mean of the random environment, as evidenced by a minimization of trajectory error on trials for which the noise field gain was close to the mean field gain. We conclude that the human motor system is capable of predicting and compensating for the dynamics of an environment that varies substantially and randomly from trial to trial, while simultaneously increasing the arm's impedance to minimize the consequence of errors in the prediction.

## INTRODUCTION

Recent evidence suggests that humans use internal models of the arm and its environment to control reaching. In the experiments described by Shadmehr and Mussa-Ivaldi (1994), subjects adapted to perturbing forces applied by a robotic device. After adaptation, the perturbing forces were unexpectedly removed, resulting in a reaching path that was displaced in the direction opposite the force. The presence of this "aftereffect" was taken as evidence that the nervous system uses an internal model to control the arm since the movement errors generated on these trials were mirror-symmetric to those observed during initial exposure to the perturbations. An alternate strategy—stiffening the arm by co-activating muscles to compensate for the force field disturbance [a form of impedance control (Hogan 1985)]—was rejected because it would not be expected to produce aftereffects. Subsequent studies have confirmed and elaborated on the use of internal models in reaching (Brashers-

Krug et al. 1996; Conditt et al. 1997; Gandolfo et al. 1996; Goodbody and Wolpert 1998; Sainburg et al. 1999).

A possible limitation of these previous studies is that they have utilized novel but predictable force fields that lack the trial-to-trial variability commonly experienced in many natural environments (e.g., sorting packages in a mailroom). The objective of the present study was to determine the effect of trial-by-trial variability on the formation of an internal model of the limb's environment.

## METHODS

Twenty-four unimpaired subjects (8 in each of 3 experimental groups; 17 male and 7 female; 20 right handed and 4 left handed; 22–58 yr old) participated in the study, approved by the U.C. Irvine IRB. The seated subject's hand was attached to a lightweight robot arm (PHANTOM 3.0, SensAble Technologies) through a customized orthopedic splint (Fig. 1). Subjects reached alternately to two target light-emitting diodes (LEDs; 0.625-in. diam), left and right, positioned in front of the left and right shoulders just inside the boundary of the reaching workspace (left target position = [−200, 70, −265] mm; right target position = [200, 70, −265] mm; see coordinate system in Fig. 1). Between reaches, subjects relaxed the arm at a home position over the lap (Fig. 1, home position = [0, 0, 0] mm). After each movement the computer sounded one of three tone patterns to provide feedback on the reach speed (too fast, too slow, or just right = 1.2 s). Subjects were able to perform the task consistently after a few practice trials. Two targets were used to make the task more engaging for the subjects.

The robot was programmed to generate a velocity-dependent force field of the form

$$\underline{F} = k \cdot \underline{b} \times \underline{v}$$

where  $k \in \mathfrak{R}^1$  (scalar gain),  $\underline{b} = [0 \ 3.65 \ 0]^T$  Ns/m (see reference frame in Fig. 1), and  $\underline{v} \in \mathfrak{R}^3$  (velocity of subject's hand) for right-handed subjects. Thus the resulting force, applied only during the outward reach, was leftward for right-handed subjects (i.e., in the  $X$ - $Z$  plane) and perpendicular to the hand velocity. For left-handed subjects, the field and subsequent movement analyses were mirror symmetric.

## Protocol

To characterize adaptation to a randomly varying environment, a within-subject repeated measures design was used in which the same

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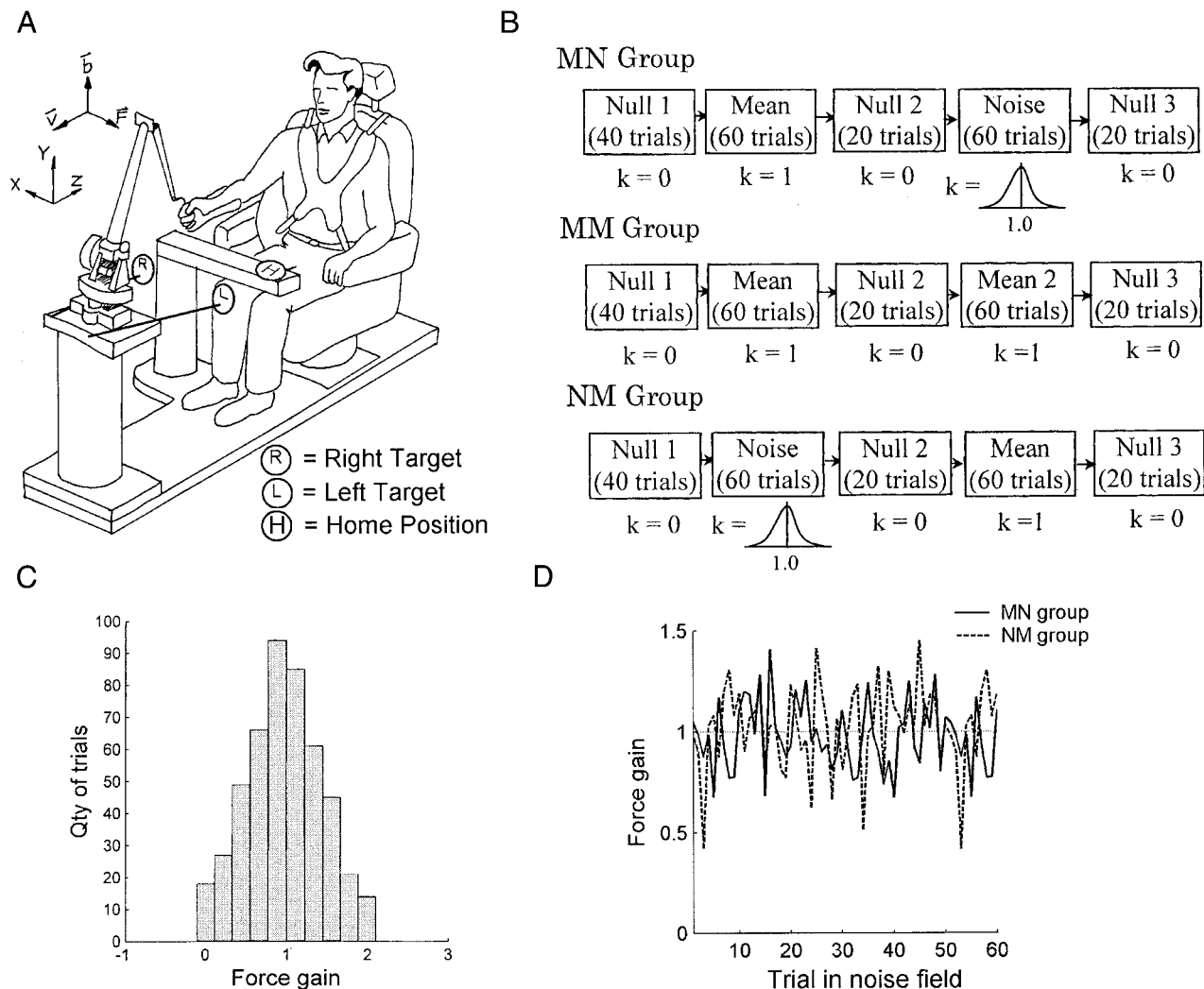


FIG. 1. Experimental design. *A*: subjects reached while attached to a robot arm. *B*: subjects were exposed to 5 sequential dynamic environments. *C*: distribution of force gains applied to mean-noise (MN) subjects in the noise field. Each subject experienced a different sequence of perturbations taken from the same distribution. The actual distribution of force gain values applied to MN subjects had a mean of  $0.98 \pm 0.47$  (mean  $\pm$  SD; for NM subjects:  $1.02 \pm 0.49$ ). *D*: the trial history of force gains applied to MN (—) and NM (- - -) subjects in the noise field, where each point was averaged over all 8 subjects in their respective group.

subject was exposed first to a predictable environment, then to a random environment. The performance in each field was compared for each subject. Specifically, one group of subjects (the “Mean-Noise” or “MN” group) was exposed to five sequential dynamic environments, called “stages” (Fig. 1). In the first stage (“null field 1”) the robot did not actively apply forces to the subject (i.e.,  $k = 0$ ) for 40 reaches. In the second stage (“mean field”) the force gain was constant ( $k = 1$ ) for 60 reaches, producing a leftward perturbation to the hand according to Eq. 1. The third stage (“null field 2”) was another null field for 20 reaches. The beginning of this stage allowed measurement of the aftereffect of adaptation in the mean field. In the fourth field (“noise field”) the force gain was randomly varied for 60 reaches according to a normal distribution with a mean of 1.0 and a SD of 0.5. The force gain variation was truncated to  $\pm 1.1$  about the mean of 1.0 to protect against large forces due to random outliers. The effect of the noise field was to apply a slightly different magnitude of force for each reach, but the average magnitude over many reaches was the same as the mean field. The fifth and final stage (“null field 3”) was another null field for 20 reaches. The subjects in the second group (the “MM” group) were exposed to a similar sequence of environments, except that instead of being exposed to the noise field in the fourth stage, they

were exposed to a second block of mean field perturbations (Fig. 1). Subjects in a third group (the “NM” group) were exposed first to the noise field and then to the mean field, to check for possible ordering effects (Fig. 1).

#### Data analysis

Since the force field pushed the hand to the left, disturbances to the reaching trajectory were mainly in the horizontal plane. Statistical analysis indicated that trajectories were not significantly disturbed in the vertical direction on initial exposure to or removal of the field. Thus reaching errors were quantified as the area between the trial path and a reference path projected onto the horizontal plane ( $X$ - $Z$  plane, Fig. 1). Reach paths that were to the right of the reference path were given positive values, while those to the left were given negative values. The reference path was selected to be the average path of the trials in the last half of null field 1 (trials 21–40). The average was computed by aligning the path data to an initial velocity threshold (200 mm/s) and computing the mean across the corresponding sampling points. For these trials, the subjects had presumably acclimated to the robot but still had no perturbing force field applied to them.

RESULTS

The eight subjects from the MN group reached first in a predictable dynamic environment (the mean field) and then in a random environment (the noise field), the gain of which varied unpredictably from trial to trial. When the robot first imposed the force fields (mean or noise), the subjects produced large initial errors followed by a gradual recovery of their original performance (Fig. 2). On removal of the force fields, the subjects displayed aftereffects (i.e., increased reaching error in the direction opposite to that of the force field). The magnitude of these aftereffects (*trials 101 and 181*, Fig. 2) was significantly different from the baseline reference error from the first null field (ANOVA,  $P < 0.001$ ) and decreased with repeated movement. For MN subjects, the noise field aftereffect (*trial 181*) was significantly smaller than the mean field aftereffect (*trial 101*; paired  $t$ -test,  $P = 0.01$ ), while the first and second aftereffects for the MM subjects were comparable

in size and not statistically different ( $P > 0.05$ ). The aftereffect ratio for MN subjects (noise aftereffect divided by mean aftereffect, mean =  $0.57 \pm 0.25$  SD) was 42% smaller than the aftereffect ratio for MM subjects (mean 2 aftereffect divided by mean 1 aftereffect, mean =  $0.98 \pm 0.32$ ).

Despite the diminished aftereffect following exposure to the noise field, the MN subjects' performance in the mean and noise fields was not significantly different, in terms of average final error and the variance of error ( $t$ -test on SDs,  $P = 0.17$ ). Additionally, MN subjects showed similar rates of performance improvement in the random and mean fields, with no significant difference in exponential time constants fit to each subject's learning curves.

The question arises as to why the MN subjects performed about as well in the random field as they did in the predictable field, yet had a diminished aftereffect. One possibility was that they did not accurately model the average dynamics of the

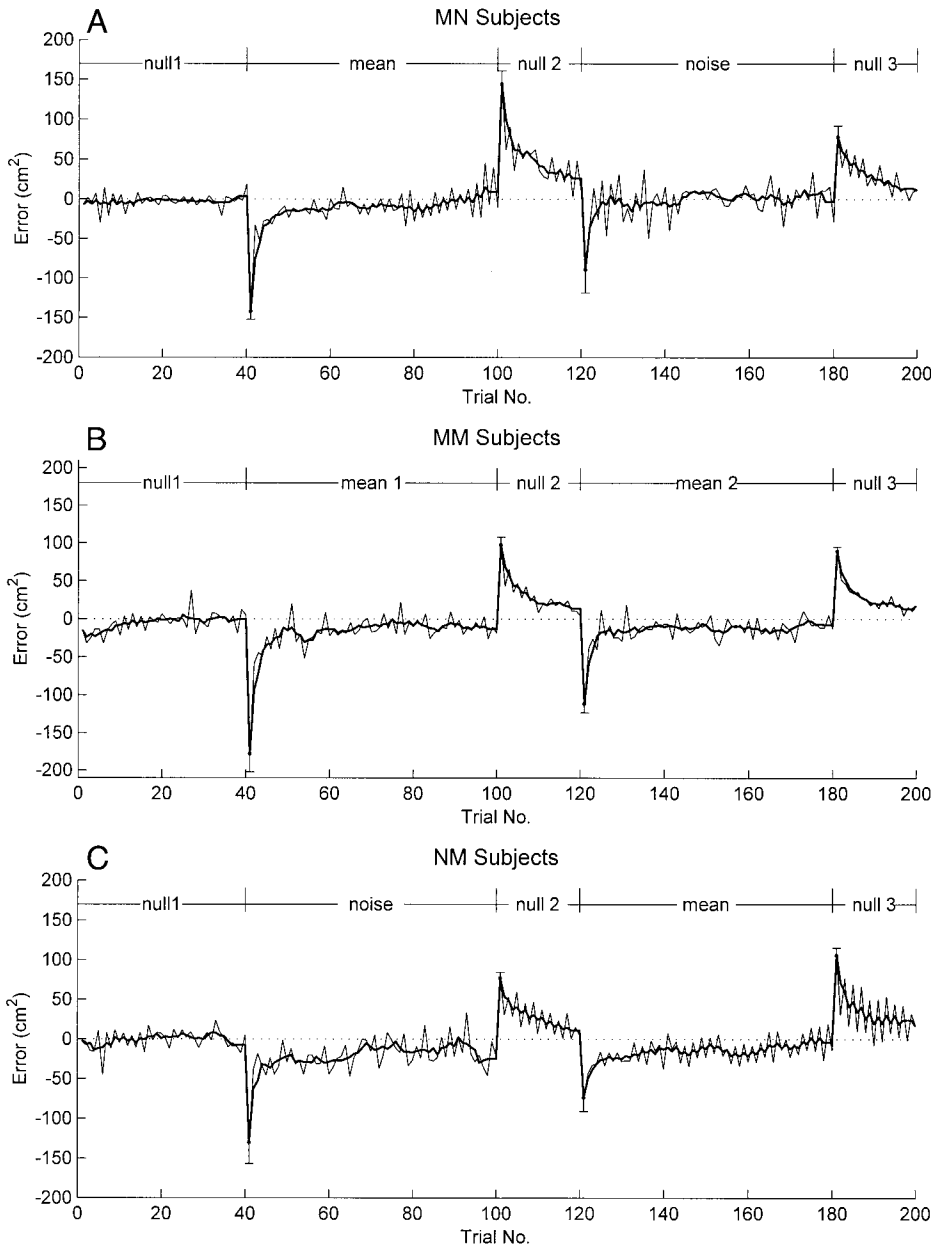


FIG. 2. Trial-series plots of reaching error. A: MN subjects. B: MM subjects. C: NM subjects. The thin lines are the ensemble average of 8 subjects. The thick lines were produced by a moving average with a 5-point window. Standard error bars are shown for key trials. Subjects showed large initial errors on sudden exposure to the applied force fields (*trials 41 and 121*), followed by gradual re-acquisition of preexposure performance. Unexpected removal of the field caused aftereffects (*trials 101 and 181*), which also decayed over time.

noise field. Alternately, subjects may have increased the impedance of their arms in the noise field. Increased arm impedance would be expected to reduce the trajectory error when the noise field was unexpectedly removed.

To distinguish these possibilities, we analyzed the reaching behavior of the MN subjects in the noise field (Fig. 3A). A minimal trajectory error would be expected whenever the gain

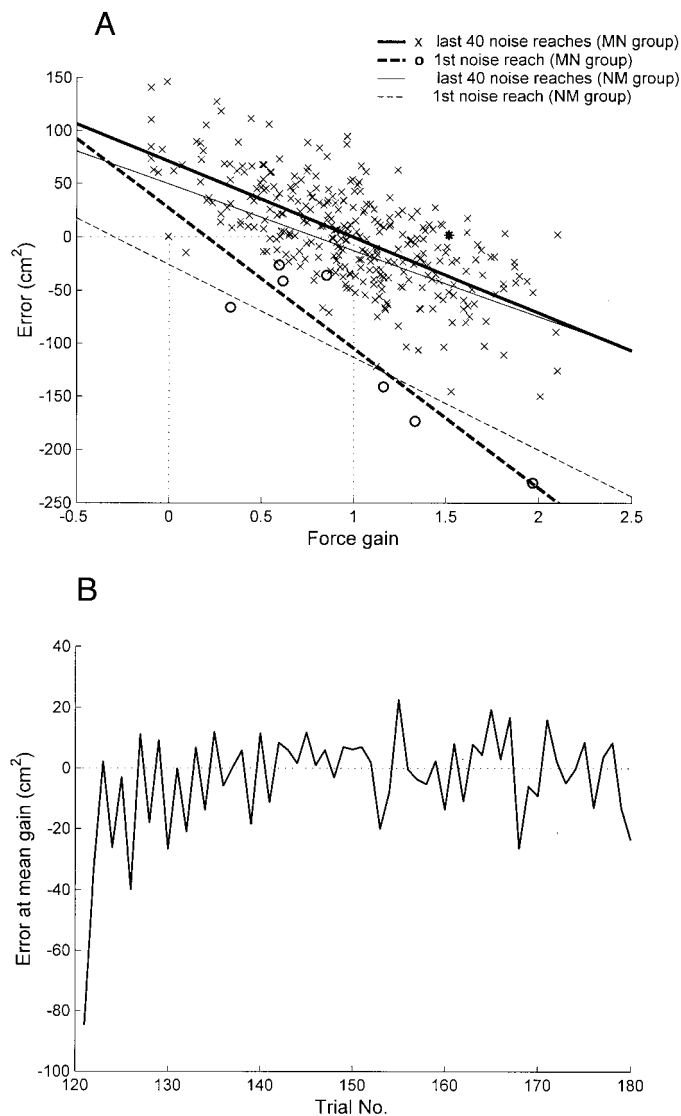


FIG. 3. Trajectory error in the noise field. *A*: each point represents a trial by a MN subject in the noise field. NM trials are not shown. The regression lines, shown for both MN (thick lines) and NM (thin lines) subjects, reveal the change in reaching behavior as subjects practiced reaching in the noise field. The thick dashed line, computed from the 1st trial in the noise field for each MN subject with 1 outlier (\*) removed ( $P = 0.004$ , slope =  $-131.2 \text{ cm}^2$ ), passes near the point [gain = 0, error = 0], indicating that the composite subject behavior was to reach with little error when the force gain was zero (note: before removal of outlier,  $P = 0.11$  and slope =  $-92.3 \text{ cm}^2$ ). With practice, MN subjects compensated for the average noise field, as illustrated by the thick solid line (computed from the last 40 trials in the field,  $P < 0.001$ , slope =  $-71.0 \text{ cm}^2$ ) passing near the point [gain = 1, error = 0]. Similar behavior was seen in the regression lines for the NM group during the 1st noise reach (thin dashed line,  $P = 0.01$ , slope =  $-87.2 \text{ cm}^2$ , with removal of one outlier, or  $P = 0.11$ , slope =  $-83.3 \text{ cm}^2$ , without removal of outlier) and the last 40 noise reaches (thin solid line,  $P < 0.001$ , slope =  $-62.1 \text{ cm}^2$ ). *B*: average trajectory error, shown for the MN subjects, gradually converged toward zero for field gain equal to 1.0 (the average field gain).

of the internal model matched the external field gain (Goodbody and Wolpert 1998). In the first movement in the noise field, the behavior across subjects was consistent with a nearly zero reaching error when the force gain was zero (Fig. 3A, thick dashed line). Following adaptation, the behavior across MN subjects was consistent with a minimization of trajectory error when the noise field gain was equal to  $1.03 \pm 0.18$  (averaged zero crossing of individual subjects' regressions over last 40 reaches), indicating accurate modeling of the average noise field (Fig. 3A, thick solid line). The movement error corresponding to the gain of the mean field declined toward zero over the 1st 20 movements, suggesting that an accurate internal model was constructed with repeated practice (Fig. 3B). The slopes of the regression lines (Fig. 3A) also provide a gross measure of the endpoint impedance of the subjects' limbs (i.e., the impedance generated at the robot's handle by the subjects). The steeper slope during initial exposure to the field corresponded to a lower impedance than observed later in the experimental sessions ( $P < 0.001$ ,  $t$ -test comparing individual subject's regression slopes of last 40 reaches to that of initial reach across subjects). Consequently the initial range of movement errors was larger than the range of errors observed at the end of the block of random perturbations for the same range of perturbation gains. Therefore the reduced noise field aftereffect was likely due to an increase in arm impedance during reaching in the noise field, presumably accomplished by stiffening the arm about the reference trajectory.

It is possible that the MN subjects did not actually learn the mean of the noise field, but simply reverted to their most recently stored dynamic model (i.e., of the mean field) when presented with the noise field. To evaluate this possibility, a third group of subjects was exposed first to the noise field and then to the mean field. The NM group formed an internal model of the random field, as shown by a minimization of error over the last 40 reaches when the gain was  $0.82 \pm 0.26$  (Fig. 3), a value significantly different from zero ( $P < 0.001$ ). However, this value was significantly less than the 1.03 value for MN subjects (1-sided  $t$ -test,  $P = 0.04$ ), indicating that the model was not as accurate as the one for the MN group. Thus MN subjects apparently used the most recently stored dynamic model to estimate more accurately the mean of the random field, although the ability to form a model of the random field was not dependent on previous exposure to the mean field. The regression slope of initial exposure to the noise field for the NM group was significantly larger than that of the last 40 reaches ( $P < 0.001$ , Fig. 3), and the noise field aftereffect was significantly less than the mean field aftereffect (paired  $t$ -test,  $P = 0.021$ , noise/mean aftereffect ratio =  $0.77 \pm 0.24$ , Fig. 2). These findings are consistent with the hypothesis that subjects increased limb impedance in response to exposure to the random field. The slightly greater NM aftereffect ratio may have arisen because, by chance, higher-than-average force field strengths were applied for the NM subjects over the last three reaches in the noise field (Fig. 1D).

#### DISCUSSION

The results of this study have three major implications. First, substantial variability in the presentation of perturbing environments does not inhibit the formation of internal models of

limb dynamics. Subjects were capable of compensating for the approximate mean of the random perturbing environment. The process by which the model is formed likely involves a moving average computation and possibly operates over only a few previous reaches (Scheidt and Mussa-Ivaldi 1999; Thoroughman and Shadmehr 2000). In addition, the process apparently incorporates a retention mechanism, since previous exposure to a predictable field produces more accurate modeling of a random field with the same mean. Retention has also been observed with repeated exposures to a predictable field in that better performance is achieved during the second exposure to the predictable field (Brashers-Krug et al. 1996).

Second, while some previous investigations have de-emphasized the role of impedance control in motor adaptation because of the presence of aftereffects (Gandolfo et al. 1996; Shadmehr and Mussa-Ivaldi 1994), the results of this study suggest that impedance control can coexist with the application of internal models for control. Consistent with this result, muscular co-activity at the wrist was previously shown to be reduced as a difficult movement task was learned (Milner and Cloutier 1993). The results of the present study indicate that when learning to move in a random mechanical environment, impedance is increased while internal models are developed.

Third, and finally, realistic computational models of motor adaptation should incorporate in their structure two adaptive processes: internal model formation as well as impedance

regulation. Identifying the mechanisms and dynamics giving rise to both model formation and impedance regulation are important goals for future motor control research.

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